Why Arms Control A View from America

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Bottom Line Up Front (BLUF)

- Arms control is a means to achieving a national security objective.
- Contrary to conventional wisdom, nuclear arms control is not dead, but is under threat.
- Arms control has always been controversial, but will be more linked to partisan politics in the United States.
 - There was not magical time when we all agreed.

Items in *Italics* are code, laden, complicated issues that need to be unpacked. History-rich.

Benefits of Arms Control





Agreements provide predictability, drive intelligence collection, leave mechanism for enforcement.



Transparency and predictability as background for force posture decisions, bound range of options for adversaries.

Caveats

- Arms control is an unnatural act
 - Trying to maintain the ability to kill each other but agreeing to cooperate at the margins.
- The history of the nuclear age is filled with human efforts to bring logic and quantitative controls to a fundamentally subjective and largely illogical capability. True of deterrence theory, arms control, nuclear command and control.
- Very little of what we talk about is new, we are repeating past arguments and poorly. Mine the history to understand options for future progress.
- Trying to apply logic in Trump era impossible, leadership disconnected from nuclear and security bureaucracy. No reliability or continuity in thought.

Arms Control Done Well

Threats to Stability or National Security

Process of engagement and negotiations

Outcome with parallel constraints with verification

- Identify major threats to US national security
 - Strategic stability (nuclear vulnerability), crisis stability (flight time, threats to C3), etc
- Consider whether negotiated agreement can achieve positive outcome, reduce need, costs, risks of military or asymmetric response.
 - Can constraints be *effectively verified*? Costs, means, confidence.
- What form of agreement is possible, partners needs and demands.

Why Arms Control Outlook is Dim

- No agreed US definition of what constitutes strategic stability and no agreement between US and Russia what constitutes strategic stability.
 - Protect retaliatory capability? Prevent nuclear use? Prevent conventional aggression?
- It is not just a partisan or ideological divide that threatens arms control, lack of process, thinking, consensus on what we seek to achieve.
- No engagement with Russian leadership.
 - Lack of negotiating process since 2010. Leadership engagement not useful, productive, trusted.

Nuclear Arms Control

- LTBT/PTBT
- SALT and ABM
- SALT II
- INF
- START
- START II and III
- New START

- SALT and ABM forged agreement of offense/defense balance
- INF and START opened *on-site* inspection
- START II and III sought to manage doctrines

American Nuclear Forces

- Pentad of Delivery Systems
 - ICBMs
 - Ballistic missile submarines
 - Strategic bombers with cruise missiles
 - Strategic bombers with gravity bombs
 - Tactical aircraft with gravity bombs
 - Some 4000 active nuclear weapons, less than ½ deployed and operational at any time.
 - Missions include: 1) Deter aggression 2) reassure allies 3) damage limitation should deterrence fail.

Realities

- The US and Russia have not adopted arms control agreement that truly manage and redirect nuclear competition since START in 1991.
 - START II and III sought to do so, failed for multiple domestic and technical reasons.
- New START negotiated in haste due to expiration of START in 2009 and desire to maintain continuity of process and inspections.
 - Bush Admin left START with looming expiration (neglect or on purpose?)
- New START not going to be extended, may be killed outright.
- New Admin will arrive with no formal agreements in place, risk and opportunity.

Back to Basics?

- What does the US worry about from Russia?
 - Overly concerned with matching capabilities, chasing Russia.
 - Tactical nuclear weapons, hyper-glide, other novel systems.
 - Doctrine in dispute, concern about lowering threshold for nuclear use.
 - Cyber and other threats to command and control, space assets.
 - Not all of these lend themselves to the bean-counting nature of arms control.
- What does Russia worry about from United States.
 - Conventional superiority in multiple realms.
 - NATO enlargement and encirclement.
 - Splendid strike capabilities, backed by global missile defenses.
- What are both sides not worried about enough?
 - Escalation risks, crisis instability, military incidents, leadership thinking and predictability.

What Comes Next

- US withdraws from New START over military an IC objections.
- We can't agree if we are not if we are not talking to each other.
- Lost agreement on US nuclear modernization.
 - New START and commitment to modernize US nuclear forces linked, breaks down with Trump withdrawal.
- New thinking in Democratic Party.
 - Reduce spending on nuclear weapons, smaller arsenal.
 - Reassurance more about leadership than shiny objects.
 - No First Use as a growing consensus.

Without US nuclear arms control fails

- US gets pressure because we are an open society. Politics of arms control will influence democratic party thinking, costs.
- US will return to arms control process with new Administration.
 - Treaty vs. unilateral action will depend on composition of new Congress on 2020.
- Russia will want credibility and status of being in a *nuclear peer relationship* with United States.
- New agreements may address only numbers or could begin to address doctrine and types (tactical weapons, missile defenses, conventional and hyper-glide).

Forms of Arms Control

- America is a legalistic society.
 - Formal agreements, treaties have appeal.
 - Domestic political realities and failings may prevent.
- Unilateral actions more likely in post-Trump era.
- Bilateral with Russia more likely because of relations, risks, history.
- China has an interest in controls on US missile defenses (regional and global) which may provide avenue for engagement. Aversion to transparency, reliance on opacity a constraint.

Arms Control and Disarmament

- Debate on NPT commitments and process of global disarmament will continue.
- Merger of arms control and nonproliferation communities may bring benefits for disarmament.
- US bureaucracy and military and public not serous about nuclear disarmament. Not a viable consensus without political leadership, driver.
- Minimal awareness or pressure from TPNW process in United States, but extended deterrent partners under pressure.
 - May lead to US reactions, some positive, negative.

Conclusions

- Things will get worse before they get better.
- New START's destruction will open new paths for nuclear decision making in United States.
- Likely result in new US-Russian agreement in 2020s, content and form unknown.
- Lack of US strategic thinking and bilateral US-Russia strategic engagement a major constraint and risk.
- Opportunity to consider where next arms control moves leads.